

2-27-06

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PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/708,482
Filing Date	March 5, 2004
First Named Inventor	Peter J. Kumpon
Art Unit	2652
Examiner Name	Jefferson A. Evans
Attorney Docket Number	1046_036

Total Number of Pages in This Submission 463

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): References BI - BK (456 pages); Return Mailroom Postcard; Certificate of Express Mailing; and our check in the amount of \$180.00.
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account No. <u>50-0289</u> .

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Wall Marjama & Bilinski LLP Indranil Mukerji	Reg. No. 46,944
Signature		
Date	February 24, 2006	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service using the Express Mail Post Office To Addressee service per 37 CFR 1.10 under Express Mail No. EV678064946US addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 24, 2006.

Typed or printed name	Cynthia Losurdo	Date	February 24, 2006
Signature			

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2006

## Complete if Known

Application Number	10/708,482
Filing Date	March 5, 2004
First Named Inventor	Peter J. Kumpon
Examiner Name	Jefferson A. Evans
Art Unit	2652
Attorney Docket No.	1046_036

☒ Applicant claims small entity status. See 37 CFR 1.27

AMOUNT OF PAYMENT \$180.00

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☒ Deposit Account Deposit Account Number: 50-0289 Deposit Account Name: Wall Marjama & Bilinski LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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## FEE CALCULATION

### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
<b>Total Claims</b>		
- 20 or HP =	x	=
HP= highest paid number of total claims paid for, if greater than 20		
<b>Indep. Claims</b>		
- 3 or HP =	x	=
HP = highest number of independent claims paid for, if greater than 3		

### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a) (1)(G) and 37 CFR 1.16(s).

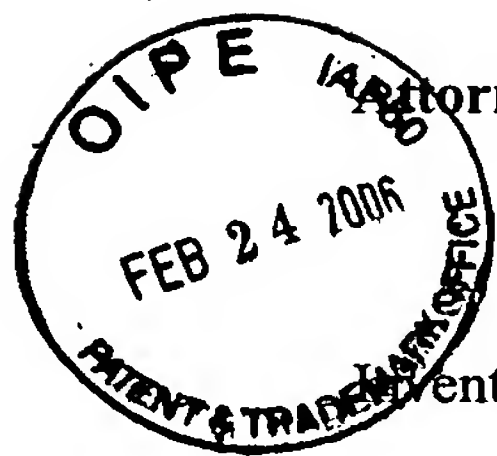
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### 4. OTHER FEES

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other (e.g., late filing surcharge) Submission of Information Disclosure Statement - Fee Code 1806 37 CFR 1.17(p)	\$180.00

## SUBMITTED BY

Signature	Registration No. 46,944 (Attorney/Agent)	Telephone 315-425-9000
Name (Print/Type)	Indranil Mukerji	Date February 24, 2006



Attorney Docket No.: 1046\_036

PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Peter J. Kumpon; Matthew T. Starr; and Jennifer L. Woodruff

Serial No.: 10/708,482

Art Unit: 2652

Filed: March 5, 2004

Examiner: Jefferson A. Evans

Title: DATA CARTRIDGE LIBRARY

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*Cynthia Losurdo*  
Cynthia Losurdo

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. § 1.56 and M.P.E.P. § 2001.06(b), Applicant submits the following Information Disclosure Statement, and directs the attention of the United States Patent and Trademark Office to the commonly owned co-pending applications (including art cited therein) listed on the attached Form PTO/SB/08A. Copies of references BI – BK are enclosed.

The information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. § 1.104(a) and § 1.105 (concerning the PTO duty to consider and use any such information). The listed application(s) may contain technical subject matter related to that of the present invention. This identification is not to be construed as a waiver of confidentiality or secrecy as to the cited application(s) now or upon issuance of the present application as a patent.

Applicant respectfully requests that the Examiner expressly consider the information disclosed herein during the prosecution of this application, that the Examiner initial the

corresponding box on the enclosed Form PTO/SB/08A.

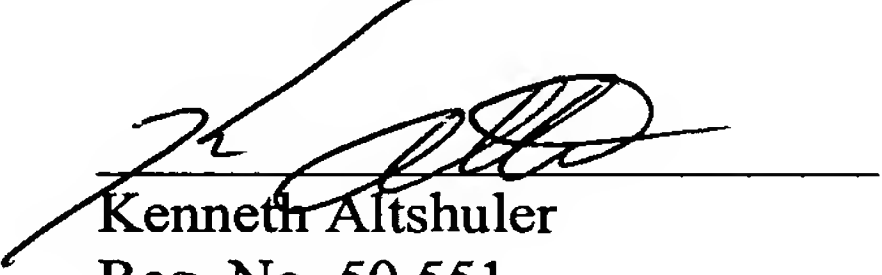
This Information Disclosure Statement "shall not be construed as a representation that a search has been made." See 37 C.F.R. § 1.97(g). This Information Disclosure Statement "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." See 37 C.F.R. § 1.97(h).

The present Information Disclosure Statement is being filed (1) more than three months from the application's filing date and (2) after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance 37 C.F.R. § 1.97(c) and therefore a fee under 37 C.F.R. § 1.17(p) is required. A check in the amount of \$180.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees associated with this submission, or credit any overpayment, to Deposit Account No. 50-0289.

Respectfully submitted,

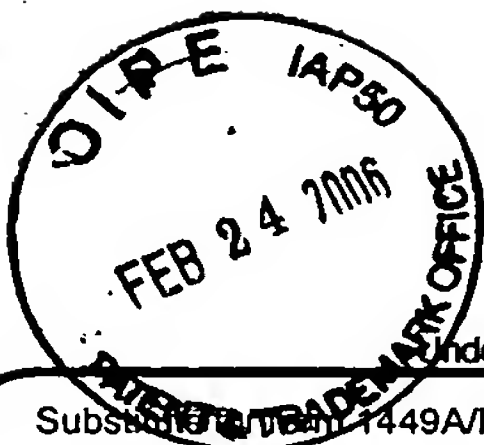
Dated: 2/22/06

  
Kenneth Altshuler  
Reg. No. 50,551

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PTO/SB/08A (07-05)

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Application Number

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First Named Inventor

Peter J. Kumpon

Art Unit

2652

Examiner Name

Jefferson A. Evans

Attorney Docket Number

1046\_036

**1U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US Patent Application No. 10/950,613		Starr et al.	
	AB	US Patent Application No. 10/951,321		Wong	
	AC	US Patent Application No. 10/980,594		Fenske et al.	
	AD	US Patent Application No. 11/011,812		Starr et al.	
	AE	US Patent Application No. 11/019,911		Curtis et al.	
	AF	US Patent Application No. 11/037,985		Permut et al.	
	AG	US Patent Application No. 11/040,937		Starr et al.	
	AH	US Patent Application No. 11/089,749			
	AI	US Publication No. US 2005-0219964 A1	10-06-2005	Pollard et al.	
	AJ	US Publication No. US 2005-0185323 A1	08-25-2005	Brace et al.	
	AK	US Publication No. US 2004-0105187 A1	06-03-2004	Woodruff et al.	
	AL	US Publication No. US 2004-0223253 A1	11-11-2004	Woodruff et al.	
	AM	US Publication No. US 2004-0264041 A1	12-30-2004	Kumpon et al.	
	AN	US Publication No. US 2004-0264038 A1	12-30-2004	Heineman et al.	
	AO	US Publication No. US 2004-0264039 A1	12-30-2004	Armagost et al.	
	AP	US Publication No. US 2004-0264037 A1	12-30-2004	Downey et al.	
	AQ	US Publication No. US 2005-0007692 A1	01-13-2005	Thompson et al.	
	AR	US Publication No. US 2004-0264042 A1	12-30-2004	Pollard et al.	
	AS	US Publication No. US 2004-0264040 A1	12-30-2004	Armagost et al.	
	AT	US Publication No. US 2005-0065637 A1	03-24-2005	Lantry et al.	
	AU	US Publication No. US 2005-0246484 A1	11-03-2005	Lantry et al.	
	AV	US Publication No. US 2005-0267627 A1	12-01-2005	Lantry et al.	
	AW	US Publication No. US 2005-0063089 A1	03-24-2005	Starr et al.	
	AX	US Publication No. US 2005-0047258 A1	03-03-2005	Starr et al.	

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<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
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Art Unit

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Examiner Name

Jefferson A. Evans

Attorney Docket Number

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**1U.S. PATENT DOCUMENTS**

Examiner	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AY	US Publication No. US 2005-0057847 A1	03-17-2005	Armagost et al.	
	AZ	US Publication No. US 2005-0195517 A1	09-08-2005	Brace et al.	
	BA	US Publication No. US 2005-0195518 A1	09-08-2005	Starr et al.	
	BB	US Publication No. US 2005-0195520 A1	09-08-2005	Starr et al.	
	BC	US Patent Application No. 11/123,725		Rector et al.	
	BD	US Patent Application No. 11/126,025		Rector et al.	
	BE	US Patent Application No. 11/145,768		Downey et al.	
	BF	US Patent Application No. 11/230,146		Starr et al.	
	BG	US Patent Application No. 11/240,893		Starr et al.	
	BH	US Patent Application No. 11/264,920		Lantry et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	BI		PCT Application No. US05/45168			Spectra Logic Corporation		<input type="checkbox"/>
	BJ		PCT Application No. US05/46447			Spectra Logic Corporation		<input type="checkbox"/>
	BK		International Publication No. WO 2005/010661	A2	02-03-2005	Spectra Logic Corporation		<input type="checkbox"/>
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